

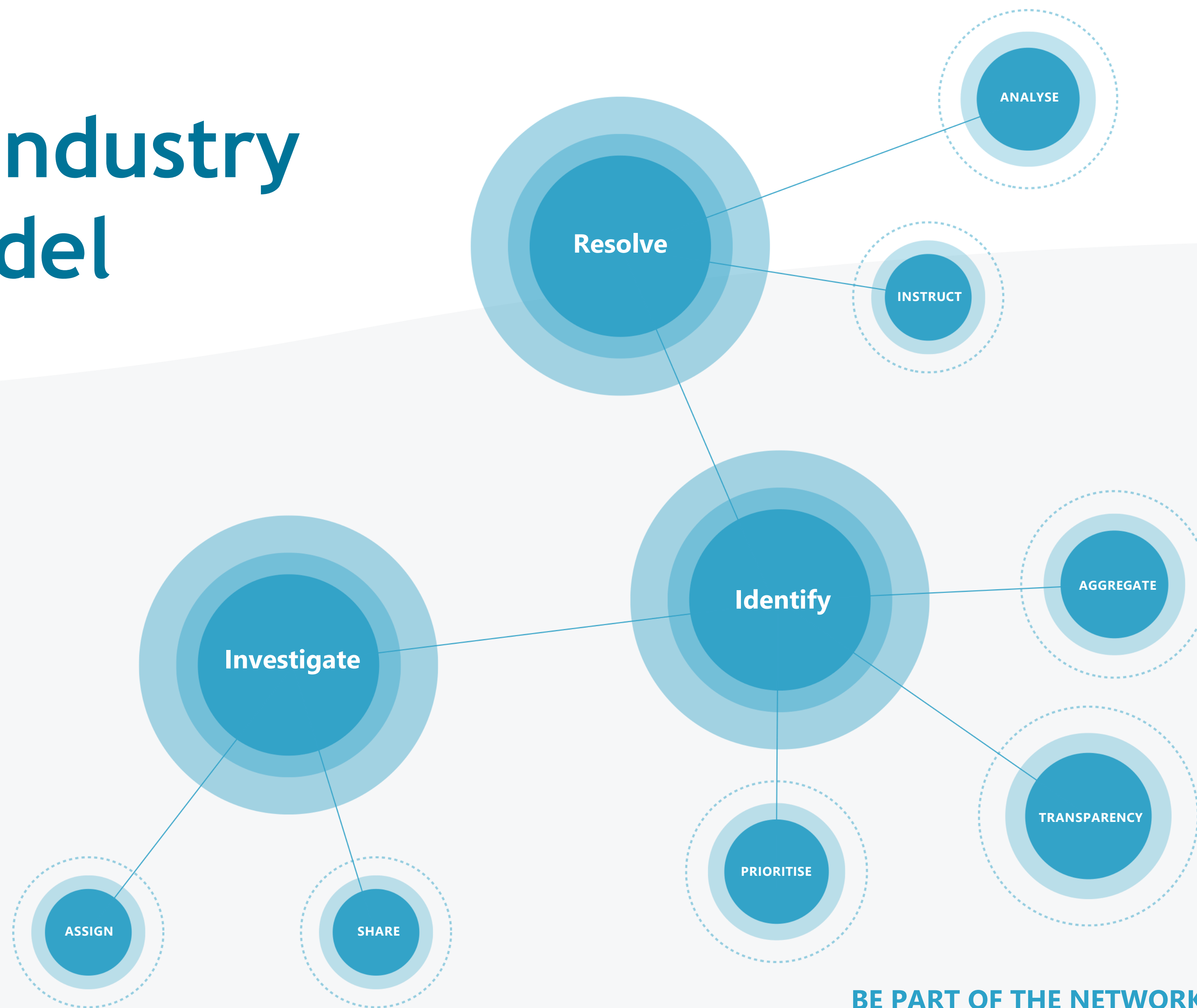


Evolving the industry operating model

Who are we? - Access Fintech is a leading financial technology provider, founded in 2015 with the aim of evolving the standard operating model of financial institutions. We have introduced data driven exception management and created a trusted network of users to bring efficiency, control, transparency and collaboration to the industry.

What do we do? - We aggregate, normalise, enrich and publish data. Being completely data agnostic enables us to ingest data in any format and publish it in a way that makes it valuable and easy to use. Our exception management tool is used to view and act on data. Our network allows participants to share data securely and collaborate efficiently and safely.

How will we help? - Our technology provides intelligence and efficiencies throughout the exception management process by enabling analysis of exceptions, prioritisation of risk, and workflow creation. Our network allows you to collaborate and connect with a huge range of industry participants and vendors.



BE PART OF THE NETWORK

The financial industry faces numerous challenges with their network operating model

Industry Challenges

- ⦿ Regulatory challenges
- ⦿ Middle / Back office inefficiencies
- ⦿ Fines and issue management
- ⦿ Complexity of vendors

Our Approach

- ⦿ Collaboration
- ⦿ Understanding FI complexity
- ⦿ Shared workflows
- ⦿ Self service

Outcome

- ⦿ Reduce effort
- ⦿ Enhance cost efficiencies
- ⦿ Free up capital
- ⦿ Reduce risk

Our value proposition encompasses a number of Products

- ⦿ T-0 Integration
- ⦿ Reconciliation Management
- ⦿ Multi-Asset Settlement
- ⦿ Corporation Action Life Cycle
- ⦿ Client Onboarding Flow
- ⦿ Vendor Connectivity
- ⦿ Credit Line Utilisation
- ⦿ Outsourcing Oversight
- ⦿ Data Transformation
- ⦿ Regulatory Reporting

Product example: CSDR

AFT innovative platform enables market participants to tackle a number of challenges including the forthcoming CSDR regulations.

AFT's knowledge of the regulatory requirements has enabled the creation of a AFT-led working group with industry leaders, keen to proactively put in place systems ahead of the CSDR roll out in 2020. AFT has created a run book to detail an industry wide shared workflow defined by working group members, to meet the industry wide requirements, as well as workflow implementation, testing and adoption.

"Our issues are already distributed across too many systems to understand ownership, priority, urgency and most importantly, outstanding risk. Before we can explore new tech, we need to "see" our constantly changing risk profile, across time, region and asset."

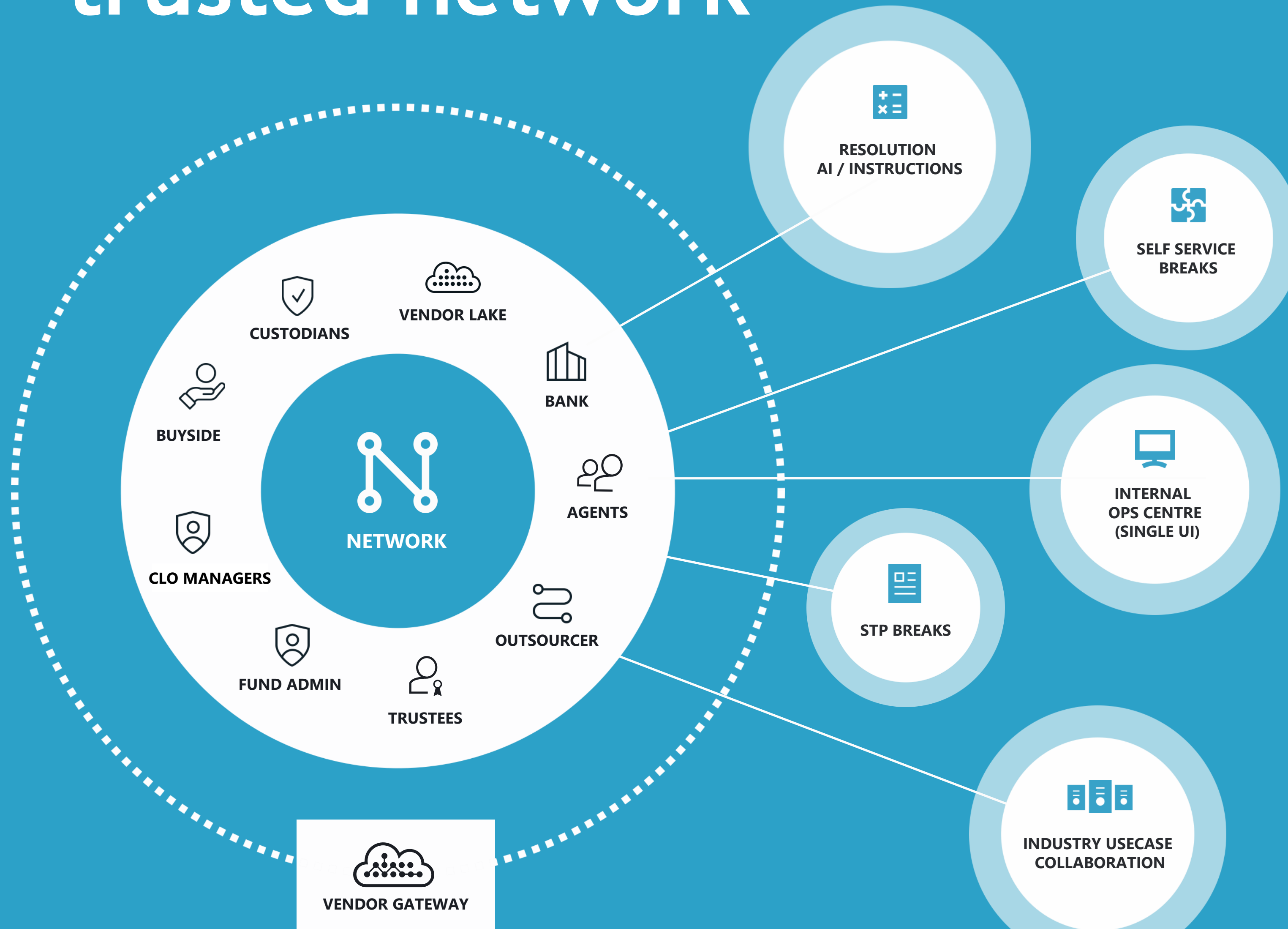
Head of Ops Control,
Global hedge fund

"We came to Access Fintech because 'all' we want is to see how a change in market conditions has changed our risk prioritisation, and have my entire support system – staff, administrators, counterparts – magically be aligned without sending a single email – let alone all the follow up emails, conference calls and the inevitable root cause analysis."

Global head of Investment Ops,
UK asset manager



Access Fintech - Data driven workflows with a trusted network



- **Data:** Aggregation, normalisation, publication – view data in a simple, customisable and user friendly way.

- **Workflow:** risk rate and assign – apply customisable risk rules and systemically assign exceptions to individuals or teams.

- **Investigate:** data access – view data from multiple internal and external vendors, organisations, systems, teams or processes in a single UI.

- **Collaborate:** network - view data shared by asset managers, investment banks, custodians, hedge funds, brokers etc and communicate directly via the UI to resolve exceptions.