



Simplifying Compliance through AI and Emerging Technologies Innovation Call Application Form

Please read the Innovation Call [Guidance & Eligibility](#).

Please note, questions with an asterisk (*) are required.

Details of Company

Full Company Name*:

Registered Address*:

Previous Address (*If changed within the last 12 months please provide us with your previous address*):

Company Registration Number and Legal Structure (As registered with Companies House e.g., Ltd., Partnership, LLP, Charity/CIC etc.)*:

Main Contact*:

Phone Number*:

Email*:

Employee Numbers*: X in Scotland, Y in the UK, and Z Globally

Website*:

Social Media (LinkedIn, X, or other)*:

Are you entering in collaboration with any other organisations?

Yes / No

If so, please complete the questions below as mandatory*:

Full Collaborating Company Name*:

Collaborator's Registered Address*:

Collaborator's Previous Address (*If changed within the last 12 months please provide us with your previous address*)*:

Collaborator's Company Registration Number and Legal Structure (As registered with Companies House e.g., Ltd., Partnership, LLP, Charity/CIC etc.):*

Collaborator's Main Contact*:

Collaborator's Phone Number*:

Collaborator's Email address*:

Collaborator's Employee Numbers*: X in Scotland, Y in the UK, and Z Globally

Collaborator's URL*:

Innovation Call Details

Which innovation call are you applying to? Please note: one application per innovation call.*

- Deloitte – Deploying (Gen) AI to extract, write and contextualise global regulatory requirements
- Morgan Stanley – Using AI to enhance transparency and accuracy in reporting
- Tesco Bank – Using AI and emerging technologies to comprehensively track UK regulatory developments
- Abrdn – Developing tailored compliance surveillance tools for asset management front office activities

Proposal Objectives

Include both technical and commercial objectives:

Objective 1*:

Objective 2*:

Objective 3*:

Objective 4*:

Proposal Details Overview

Briefly describe how your proposal meets the challenge (<1,500 characters)*

Please send a short video (<5mins) introducing your company and your proposal with this application form to FRIL@fintechscotland.com*

Please send your pitch deck with this application form to FRIL@fintechscotland.com*

Proposal Impact

What is the commercial potential for your business (< 1,000 characters)*

What steps would you need to support your route to market? (< 500 characters)*

Proposal Outputs

Please indicate what benefits your proposal is expected to produce for Scotland and Glasgow. Please add justification for each output.*

Public Subsidy Rules

You are required as part of this application form to declare any amounts of funding of the types described below which have been received by you from any UK public sources from 1 April 2021.

If you have received public sector support in the past, you should have been notified in writing of any de minimis, SAFA, MFA or SPEI assistance element when the funding was awarded.

Further information on Minimal Financial Assistance is available here: [Minimal Financial Assistance – Subsidy Control: a guide for beneficiaries](#). You should include details of any support received by you or any other entity forming part of your enterprise. Your enterprise includes all entities under common ownership or control.

Inaccurate or incomplete declarations may result in your Proposal being deemed ineligible for consideration.

De minimis aid

Have you, or any collaborators involved in this innovation call, received any type of de minimis aid given under the EU State aid regulations before 31 December 2020 (or after this date if by virtue of the Northern Ireland Protocol or EU funded)?*

Yes / No

If yes, please provide*: Date, (MM/YY); Public Authority Source; Project Title; Type of Subsidy (Grant, Loan or another subsidy instrument); Amount (£ and € at the time of award).

Subsidies given as small amounts of financial assistance

Have you, or any collaborators involved in this innovation call, received subsidies given as small amounts of financial assistance under Articles 364(4) or 365(3) of the UK-EU Trade and Cooperation Agreement between 1 January 2021 and 31 January 2023?*

Yes / No

If yes, please provide*: Date, (MM/YY); Public Authority Source; Project Title; Type of Subsidy (Grant, Loan or another subsidy instrument); Amount (£ and € at the time of award).

Minimal Financial Assistance (MFA)

Have you, or any collaborators involved in this innovation call, received Minimal Financial Assistance (MFA) given under the Subsidy Control Act 2022 on or after 4 January 2023?*

Yes / No

If yes, please provide*: Date, (MM/YY); Public Authority Source; Project Title; Type of Subsidy (Grant, Loan or another subsidy instrument); Amount (£ and € at the time of award).

Services of Public Economic Interest (SPEI) assistance

Have you, or any collaborators involved in this innovation call, received Services of Public Economic Interest (SPEI) assistance given under the Subsidy Control Act 2022 on or after 4 January 2023?*

Yes / No

If yes, please provide*: Date, (MM/YY); Public Authority Source; Project Title; Type of Subsidy (Grant, Loan or another subsidy instrument); Amount (£ and € at the time of award).

Please confirm your organisation has *not* received any other Public Funding in the last 3 years?*

Yes (My organisation has not received other Public Funding)

No (My organisation has received other Public Funding)

Please confirm that your organisation has a business bank account which can be used for receiving and evidence of expenditure relating to any Award received.*

Yes / No

IP Rights

Who owns the IP for the solution you are proposing for this innovation call?*

Background IP rights retained

Background IP rights shared

Shared access to IP outputs

Trial Partner retains access to IP outputs

Conflict of Interest

If there is a conflict of interest between the applicant and Project Partners, the University of Strathclyde (FinTech Scotland's collaborator on this project) may wish to involve other parties to protect the interests of all Project Partners.

Do you wish to declare a conflict of interest?*

Yes / No

If yes, please provide more detail*:

Before you submit your application

How did you first hear about this innovation call?*

- Social media/FinTech Scotland's website/Word of mouth/Press/other

Data Protection - How FinTech Scotland & University of Strathclyde will use the information provided in this application form*

Any personal information submitted as part of an application, i.e. contact details, will be used only for the purposes of assessing applications and administering grant awards and will be processed in accordance with applicable data protection legislation. FinTech Scotland (trading through Edinburgh Innovations Ltd.) manages the portal via which applications are made. For more information about how FinTech Scotland process your personal data, please see <https://www.fintechscotland.com/privacy-policy/>

The University of Strathclyde is the organisation responsible for processing and awarding grants. The University of Strathclyde require some limited contact information to do this, as part of its public task and to fulfil the contract. Application forms, including contact details, will be shared with partners: FinTech Scotland (trading through Edinburgh Innovations Ltd.), University of Glasgow and Use Case Strategic Partners as part of the evaluation process. If your application is successful, any personal information will be retained to administer the award, and for up to ten years after the end of the funding. Unsuccessful applications will be retained for one year after the grant is awarded. To find out more about individual rights under data protection legislation and how to exercise these rights [please see our website](#).

Please confirm that you've read this*: Yes / No

I have read the Data Protection and Privacy Policies*: Yes / No

I have read and accepted the [Guidance and Eligibility](#)*: Yes / No

Declaration by applicant

I certify that the information given on this application form is accurate to the best of my knowledge. I also confirm the form is signed by a Director, Authorised Signatory or Company Secretary of the Lead Organisation and understand that we may be required to provide evidence of the signing authority of any individual.

By signing this application form:

- If successful in being awarded grant funding, you accept the terms and conditions of the University of Strathclyde Offer of Award Letter. Please contact FRIL@fintechscotland.com for further detail.
- You acknowledge that the information provided in this application form may comprise company information and personal data. You ensure that individuals whose personal data is included as part of your submission are aware of this and you make them aware of the information in the section **Data Protection - How FinTech Scotland & University of Strathclyde will use the information provided in this application form**.

Name of Signatory*:

Role of Signatory*:

Date (dd/mm/yy) *:

Please sign here*:

Thank you, please send this completed form and required collateral to FRIL@fintechscotland.com